

Expecting the Unexpected: How discourse expectations can reverse predictability effects in reading time

Richard Futrell^a & Hannah Rohde^b Stanford University^a, University of Edinburgh^b contact: futrell@stanford.edu

THE UNIVERSITY of EDINBURGH

THE PROBLEM

Sentences are easiest to process when words that listeners encounter are **frequent or predictable given surrounding linguistic context** (Kliegl et al., 2004; Levy, 2008; among many others). However, hearers also expect discourse to convey **informative**, and therefore **unpredictable**, information (Grice, 1975; Shannon, 1948).

We investigate whether the expectation of informativity can:
(1) Make unpredictable words easier to process,
(2) Make highly predictable words hard to process, because they are anomalously underinformative.

THE EXPERIMENT

In a self-paced reading time study, we modulate readers' expectations about the surprisingness of upcoming material in a discourse.

- We describe an individual as either **boring** or **surprising**.
- Then we describe them using **predictable** or **unpredictable** instruments for some task (Brown & Dell, 1987).

Condition	Predicted reading times
Don't expect	Predictable < Unpredictable
surprise	
Expect surprise	Unpredictable < Predictable

Stimuli were presented using **Ibex** over **Mechanical Turk**. 110 subjects saw 4 target sentences each. Words were centered on the screen.

We did not analyze data from subjects who:
(1) Were not located in the United States,
(2) Did not answer every comprehension question correctly, or
(3) Had a mean reading time greater than 2 s.d. above or below the overall mean.



Figure 1: Expecting surprise. The word *knife* is unpredictable from previous context, but it is in line with the expectation of surprise.



Figure 2: Anomalous underinformativity. The word *knife* is highly predictable from previous context—which conflicts with the discourse expectation.

Low-informativity expectation:

THE RESULTS



knife SPILL1 SPILL2 SPILL3 SPILL4

Figure 3. Reading times at the critical instrument and following words. For reading times at **the final word**, we find the avpected offect. When readers expect

My classmate John is a boring person who always does things the way you'd expect.
a. locally predictable instrument ["don't expect surprise, don't get surprise"]
For instance, in order to chop some carrots, he was using a knife yesterday in the afternoon.
b. locally unpredictable instrument ["don't expect surprise but get surprise"]
For instance, in order to brush his teeth, he was using a knife yesterday in the afternoon.

CONCLUSIONS

- To our knowledge these new findings are the first evidence of comprehension difficulty for material that is overly predictable from local cues.
- The results point to the importance of modeling comprehenders' pragmatic expectations about upcoming material—namely, their expectations about relevance and informativity.
- We are currently examining whether the same effects hold using **conventional linguistic markers of informativity**, such as **clefts**, and using adjuncts other than instruments.

THANKS & WORKS CITED

Thanks to **Philip Hofmeister, Dan Jurafsky,** and **Tom Wasow** for much advice! We are indebted to **Alex Drummond** for creating Ibex, and **Klinton Bicknell** for providing the scripts used to analyze data.

Kliegl, R., Grabner, E., Rolfs, M., & Engbert, R. 2004. Length, frequency, and

the expected effect. When readers expect

surprise, the **expected instrument** is **slower** than the **unexpected instrument**.

The interaction of local predictability and discourse expectation is significant by ANOVA (F1(1,102) = 11.286, p = 0.001; F2(1,12) = 7.535, p = 0.018) and in a mixed-effects model with subject and item as random intercepts (p < 0.001). predictability effects of words on eye movements in reading. *European Journal of Cognitive Psychology* 16: 262-284.
Levy, R. 2008. Expectation-based syntactic comprehension. *Cognition* 106(3):1126-1177.
Grice, H. P. 1975. Logic and Conversation. In D. Davidson and G. Harman (eds), *The Logic of Grammar*: 64-75.
Shannon, C.E. 1948. A Mathematical Theory of Communication. *Bell System Technical Journal*, July 1948: 623.
Brown, P., & Dell, G. S. 1987. Adapting production to comprehension: The explicit mention of instruments. *Cognitive Psychology* 19: 441-472.