Reconciling Coherence-Driven and Centering-Driven Theories of Pronoun Interpretation

Andrew Kehler UC San Diego Hannah Rohde University of Edinburgh

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### Two Approaches to Discourse Coherence

\* Centering Theory (Grosz et al. 1986; 1995):

"Certain entities in an utterance are more central than others and this property imposes constraints on a speaker's use of different types of referring expressions" ... "the use of a pronoun to realize the  $C_b$  signals the hearer that the speaker is continuing to talk about the same thing."

*Mitt narrowly defeated Rick, and campaign donors began flocking to him. [ him = Mitt ]* 

*Rick was narrowly defeated by Mitt, and campaign donors immediately began to flock to him. [ him = Rick ]* 

\* Semantics and world knowledge do not come into play

### **Coherence and Coreference**

- Hobbs' (1979) Coherence-Driven Approach
  - \* Pronoun interpretation occurs as a by-product of general, semantically-driven reasoning processes
  - \* Pronouns are modeled as free variables which get bound during inferencing (e.g., coherence establishment)

Mitt narrowly defeated Rick, and he asked that the vote be certified.

Mitt narrowly defeated Rick, and he asked that the vote be recounted.

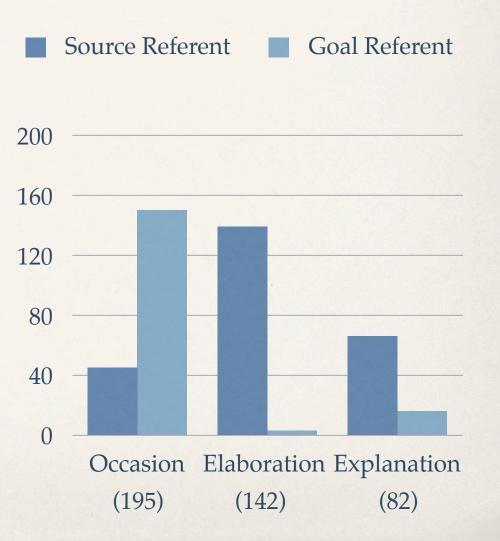
\* Choice of linguistic form does not come into play

### **Biases Vary by Coherence Relation**

 Stevenson et al. (1994) found a 50-50 pronoun bias in sentence completions with Source-Goal transfer-of-possession contexts:

Bush passed the speech to Cheney. He

 Rohde et al (2006) asked whether the bias varied by the type *coherence relation* between the clauses



# Results

- Rohde et al. ran the previous experiment again, except with different instructions for how to continue the passage:
  - What happened next? (Occasion)
  - \* Why? (Explanation)
- Stimuli kept identical across conditions



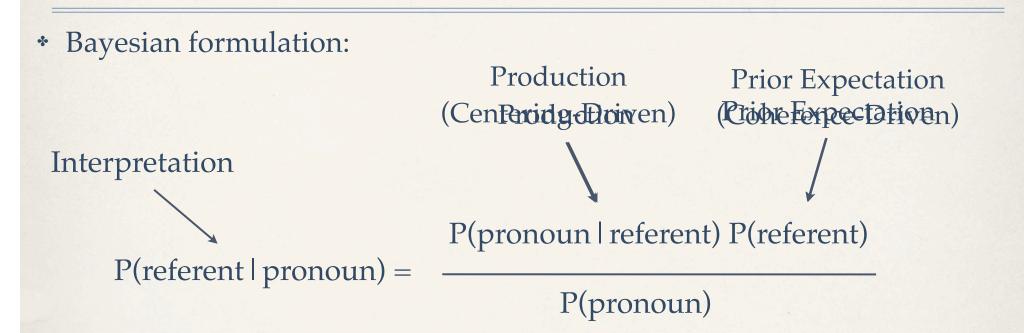
### The Subject Preference

 Stevenson et al's (1994) study paired their pronoun-prompt condition with a no-prompt condition:

Bush passed the speech to Cheney. He \_\_\_\_\_\_ Bush passed the speech to Cheney. \_\_\_\_\_

- They found a near 50/50 split in Source vs. Goal interpretations for pronouns in the prompt condition
- But in the no-prompt condition, they found a strong tendency to use a pronoun to refer to the subject and a name to refer to the object (replicated by Arnold, 2001 and Rohde and Kehler 2008)

## Bayesian Interpretation (Kehler et al. 2008)



- Our data are consistent with a scenario in which coherence-driven biases primary affect probability of *next-mention*, whereas Centering biases (subject/topic) affect *choice of referential form*
- \* Fukumura and van Gompel (2010) tested this latter prediction

# Implicit Causality

 Previous work has shown that so-called *implicit causality* verbs are associated with strong pronoun biases (Garvey and Caramazza, 1974 and many others)

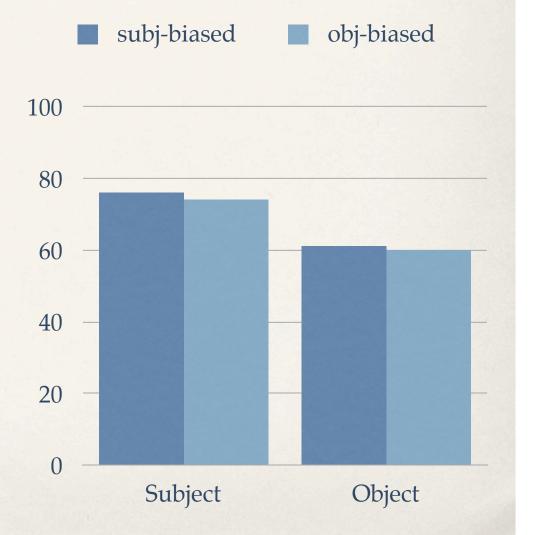
Amanda amazes Brittany because she[subject-biased]Amanda detests Brittany because she[object-biased]

 Therefore, the subject-biased v. object-biased IC verb distinction provides a basis to test whether interpretation biases affect pronoun *production*

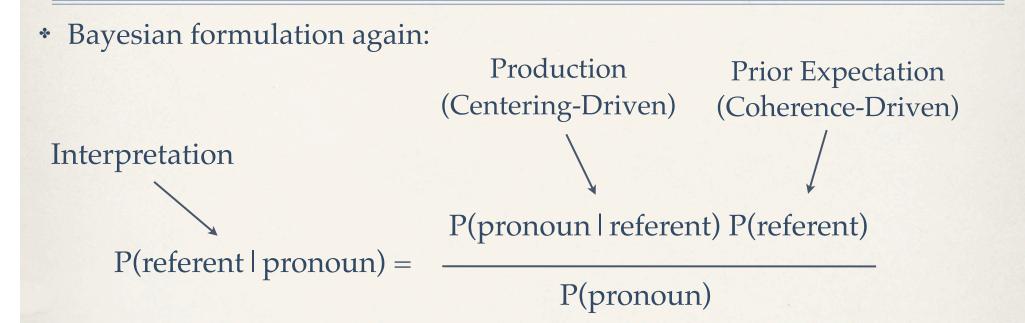
# IC Manipulation (Fukumura and van Gompel, 2010)

#### \* Contexts:

- Gary scared Anna after the long discussion ended in a row. This was because... [subject-biased]
- Gary *feared* Anna after the long discussion ended in a row. This was because...
  [object-biased]



# Centering and Topichood



- The original Centering rule says to pronominalize the *topic* (with subject position being a weak indicator of topichood in active voice)
- Therefore, a manipulation that increases the likelihood that a referent is the topic *should* influence pronoun production

### IC and Passivization

\* We used subject-biased IC verbs to test several predictions:

Amanda amazed Brittany. She \_\_\_\_\_

Brittany was amazed by Amanda. She \_\_\_\_\_

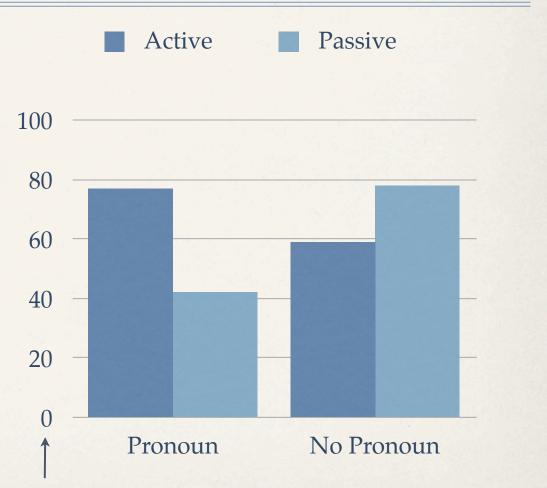
Amanda amazed Brittany.

Brittany was amazed by Amanda.

- \* Question 1: Does passivization change the pronoun interpretation bias?
- \* Question 2: Does passivization change the pronoun production bias?

### **Results:** Mentions

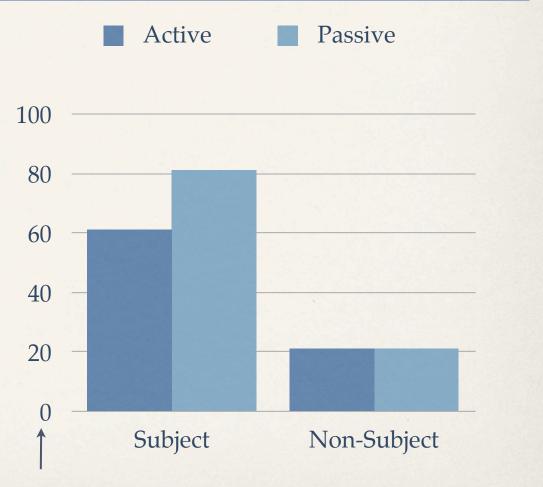
- Preference for causallyimplicated referent (p<.001)</li>
- Subject bias for pronouns (p<.001)</li>
- Interaction: Reduced bias for causallyimplicated referent in passive/pronoun condition (p<.05)</li>



% References to logical subject

### **Results: Production**

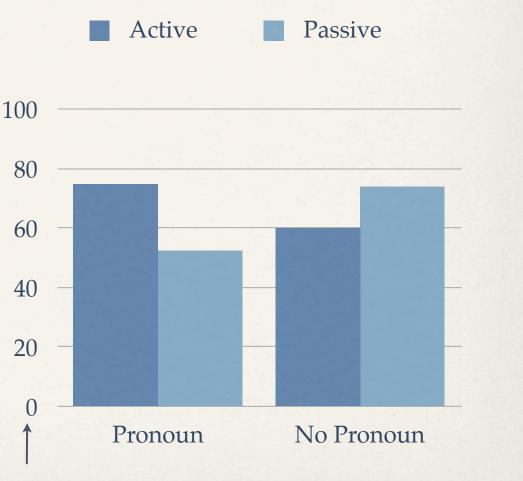
- Greater rate of pronominalization to the subject than nonsubject
- Greater rate of pronominalization for passive subjects than active ones
- No difference for nonsubjects, as expected



% Pronouns

## **A Third Prediction**

- Previous work has revealed a substantial bias toward Explanation continuations with prompts without *because* (Kehler et al., 2008)
- A third prediction that arises is that passivization, by pulling pronoun references away from the causallyimplicated referent, should reduce the percentage of Explanations
- The prediction was confirmed: Fewest Explanations in Pronoun+ Passive condition (p<.001)</li>



% Explanation relations

### Conclusions

- \* The data presented here suggests a potential reconciliation of coherencerelation-driven and Centering-driven theories that accords with this view:
  - Coherence relations create top-down expectations about next mention
  - Centering-style constraints yield bottom-up evidence specific to choice of referential form
- Fits within a modern view in psycholinguistics that casts interpretation as the interaction of "top-down" expectations and "bottom-up" linguistic evidence
- We have gained insight into why we see evidence for both syntactic and semantic 'preferences' and their emergence in different contextual circumstances
- \* The behavior of pronouns is thus an important source of insight into larger questions concerning the discourse processing architecture

# Thank you!