

# Cost & implicature in word use:

## Testing predictions of a game-theoretic model of alignment

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# Choice of referring expression

“fish”?



**Zebra Fish**



**Blue Paradise Fish**



**German Blue Ram  
Dwarf Cichlid**

# Question

- ▶ What contexts license the production and comprehension of otherwise ambiguous words?
- ▶ **Intuition:** Successful use of ambiguous words requires shared knowledge of...
  - ▶ costs
  - ▶ inferencing rules governing the communication game

# Alignment

- ▶ Joint communication tasks yield alignment  
[Garrod & Anderson, 1987; Brennan & Clark, 1996; Horton, 2008; Garrod & Pickering, 2004]
- ▶ Role of common ground in establishing convention
- ▶ Predictions regarding form~meaning mappings?
- ▶ Use contexts in which production costs are part of common ground

# Game Theory

- ▶ Framework for modelling strategic interaction  
[Benz, Jäger, & van Rooij, 2005]
  - ▶ Players have choices regarding behavior and preferences over possible outcomes
  - ▶ Outcomes depend on both players' choices
  - ▶ Games characterized by shared knowledge
- ▶ Prediction: ambiguous form conveys meaning if...
  - ▶ unambiguous form is costly
  - ▶ other meanings can be conveyed at low cost

# Game-theoretic prediction

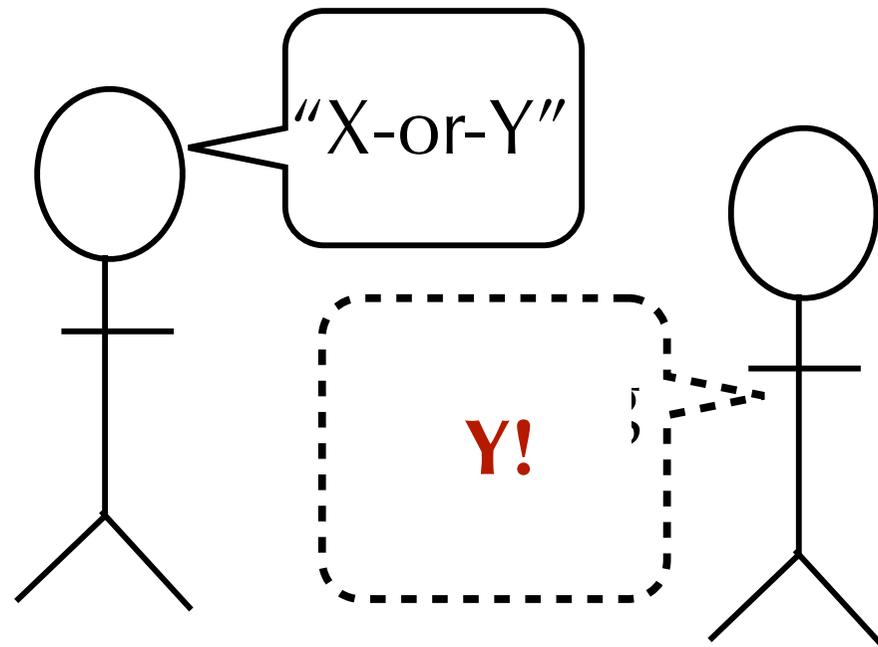
**unambiguous:**

"X" (\$)

"Y" (\$\$\$)

**ambiguous:**

"X-or-Y" (\$)



# Conventional use of “some”

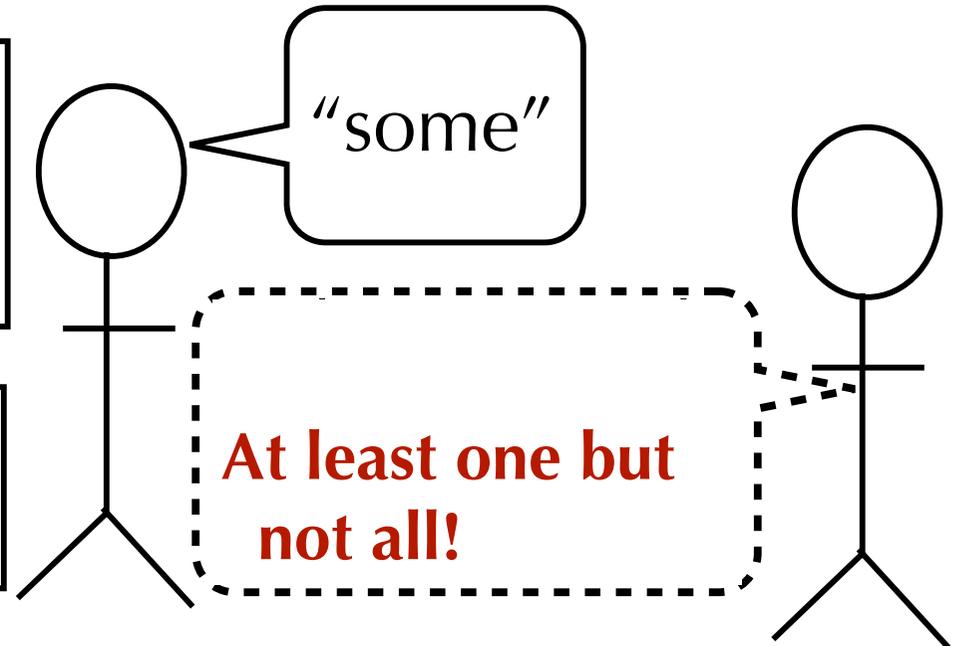
**unambiguous forms:**

“all” (\$)

“at least one but not all” (\$\$\$)

**ambiguous form:**

“some” (\$)



Wait, doesn't “some” just mean AT-LEAST-ONE-BUT-NOT-ALL ?

Some students came  
but not all of them.

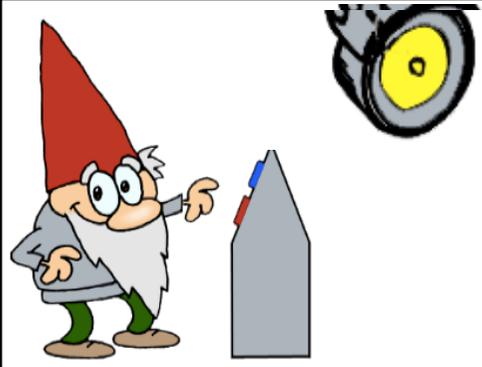
At-least-one-but-not-all students came  
# but not all of them.

Some students came  
In fact, all students came.

At-least-one-but-not-all students came  
# In fact, all students came.

# Communication game

- ▶ Pairs of participants take turns as Sender & Receiver
- ▶ Goal: successful communication (hit target score)
- ▶ Word production costs points (score decreases)
- ▶ Successful comprehension yields a reward (score increase)



Points: **110** / 1000

Time remaining:  
**18 min 20 sec**



<b>Apple Tree</b>
<b>Rose</b>
<b>Flower</b>

**Rose [-60]**

**Daisy [-120]**

**Tulip [-280]**

**Flower [-80]**

**Apple Tree [-60]**

**Palm Tree [-120]**

**Pine Tree [-250]**

**Tree [-80]**



# Score keeping

- ▶ Game continues for 20 minutes or until either player reaches 1000 points
- ▶ Sender sees highlighted object
- ▶ Sender sends a word (Sender score decreases)

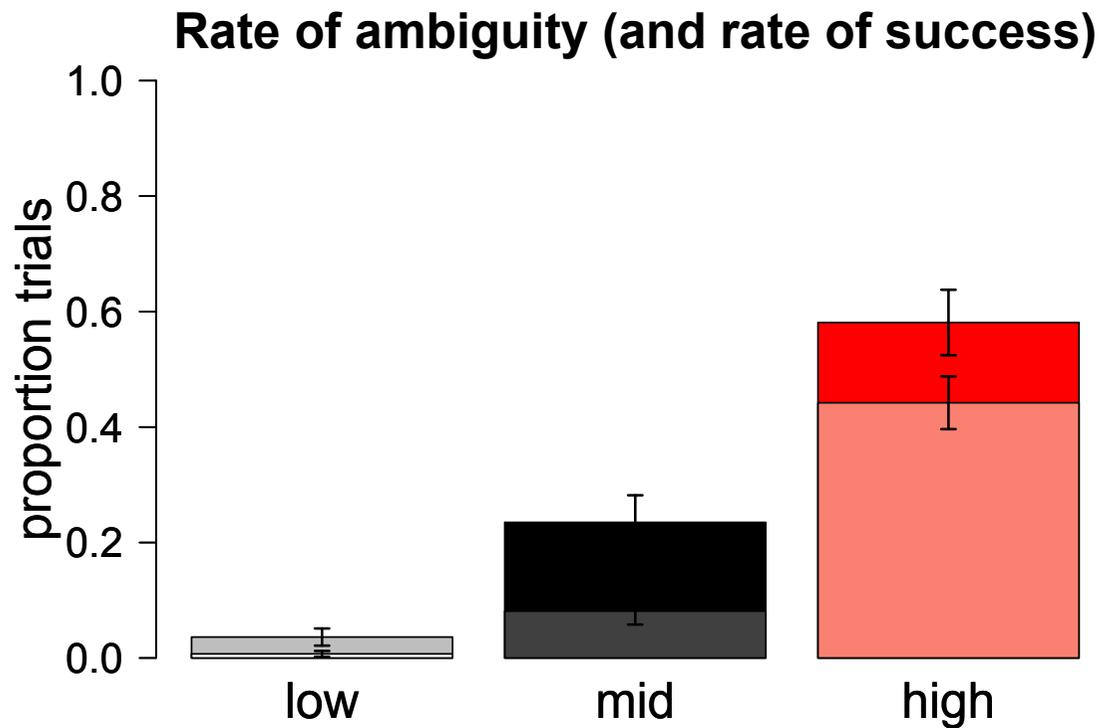
<b>“rose”</b>	<b>-60</b>
<b>“daisy”</b>	<b>-120</b>
<b>“tulip”</b>	<b>-280</b>
<b>“flower”</b>	<b>-80</b>

<b>“apple tree”</b>	<b>-60</b>
<b>“palm tree”</b>	<b>-120</b>
<b>“pine tree”</b>	<b>-250</b>
<b>“tree”</b>	<b>-80</b>

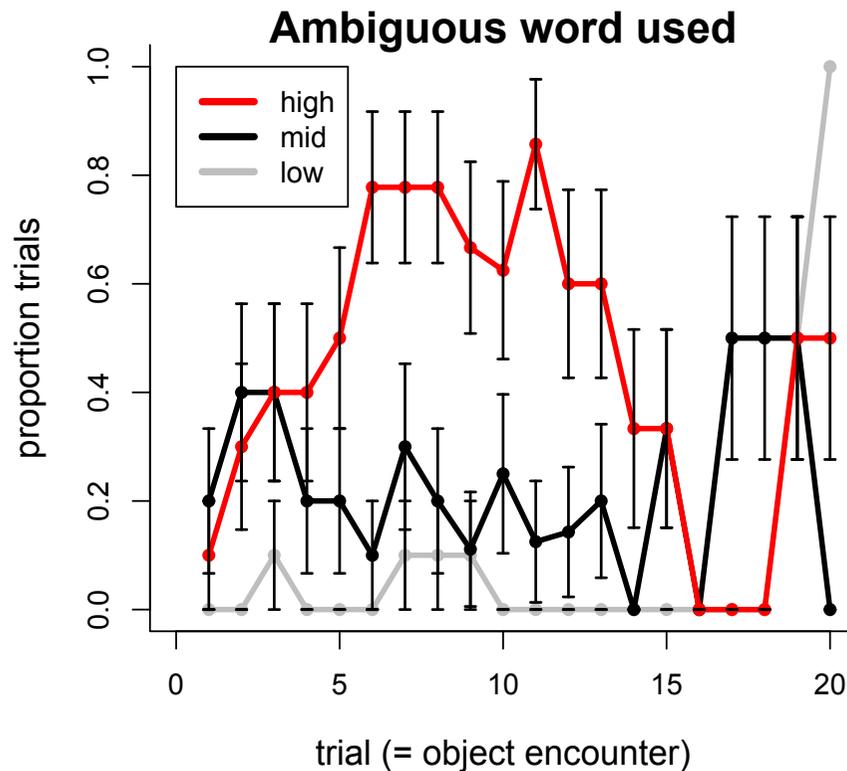
- ▶ Receiver sees word and selects an object
- ▶ If match, reward (+85 for both players)
  - ▶ Else, retry (no penalty)
- ▶ Shared knowledge of costs/rewards/scores

# Results

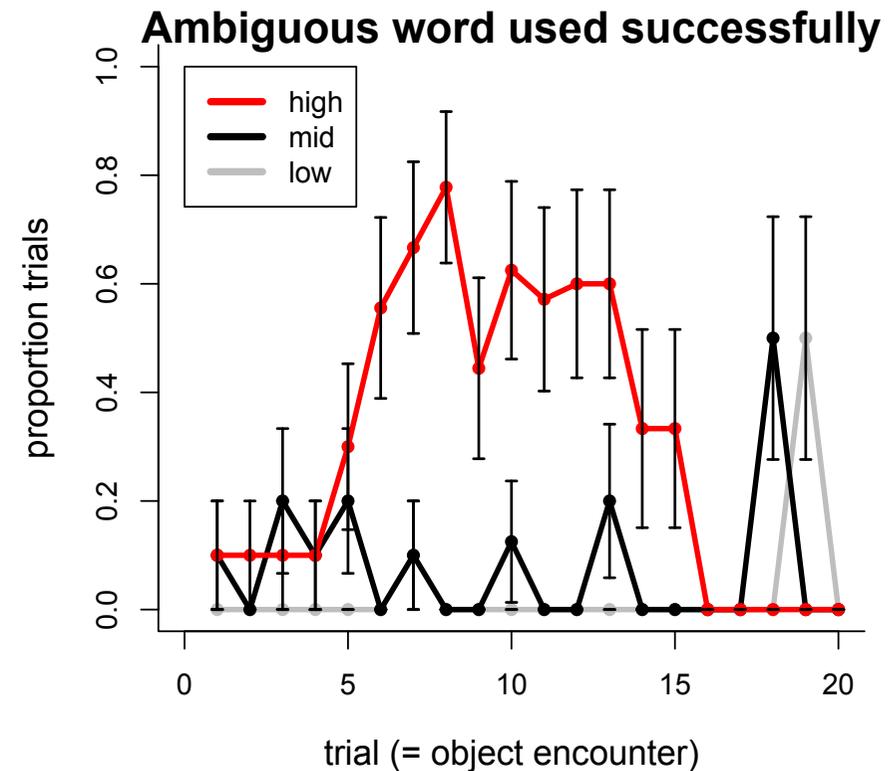
- ▶ 10 pairs: 5 success, 5 ??
- ▶ Cost influences use of ambiguous words



# Expt1: time course



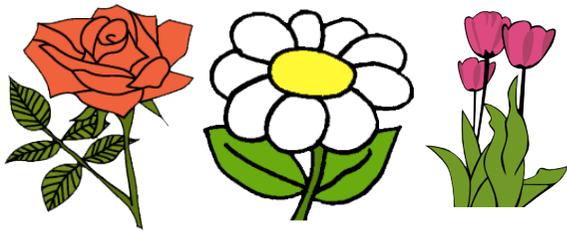
\*cost  
\*trial#  
no interaction



\*cost  
\*trial#  
no interaction

<b>1</b>	<b>mid</b>	<b>daisy</b>	<b>“flower”</b>	
<b>1</b>	<b>mid</b>	<b>daisy</b>	<b>“daisy”</b>	
<b>2</b>	<b>mid</b>	<b>palm</b>	<b>“palm tree”</b>	
<b>1</b>	<b>mid</b>	<b>palm</b>	<b>“tree”</b>	
<b>1</b>	<b>mid</b>	<b>palm</b>	<b>“palm tree”</b>	
<b>2</b>	<b>low</b>	<b>apple</b>	<b>“apple tree”</b>	
<b>1</b>	<b>mid</b>	<b>palm</b>	<b>“tree”</b>	
<b>1</b>	<b>mid</b>	<b>palm</b>	<b>“palm tree”</b>	
<b>2</b>	<b>mid</b>	<b>daisy</b>	<b>“flower”</b>	
<b>1</b>	<b>high</b>	<b>tulip</b>	<b>“tulip”</b>	
<b>2</b>	<b>low</b>	<b>apple</b>	<b>“apple tree”</b>	
<b>1</b>	<b>high</b>	<b>pine</b>	<b>“pine tree”</b>	
<b>2</b>	<b>high</b>	<b>tulip</b>	<b>“flower”</b>	
<b>2</b>	<b>high</b>	<b>tulip</b>	<b>“flower”</b>	
<b>2</b>	<b>high</b>	<b>tulip</b>	<b>“flower”</b>	
<b>1</b>	<b>high</b>	<b>pine</b>	<b>“pine tree”</b>	
<b>2</b>	<b>high</b>	<b>pine</b>	<b>“tree”</b>	

# Expt2: Same method, different costs



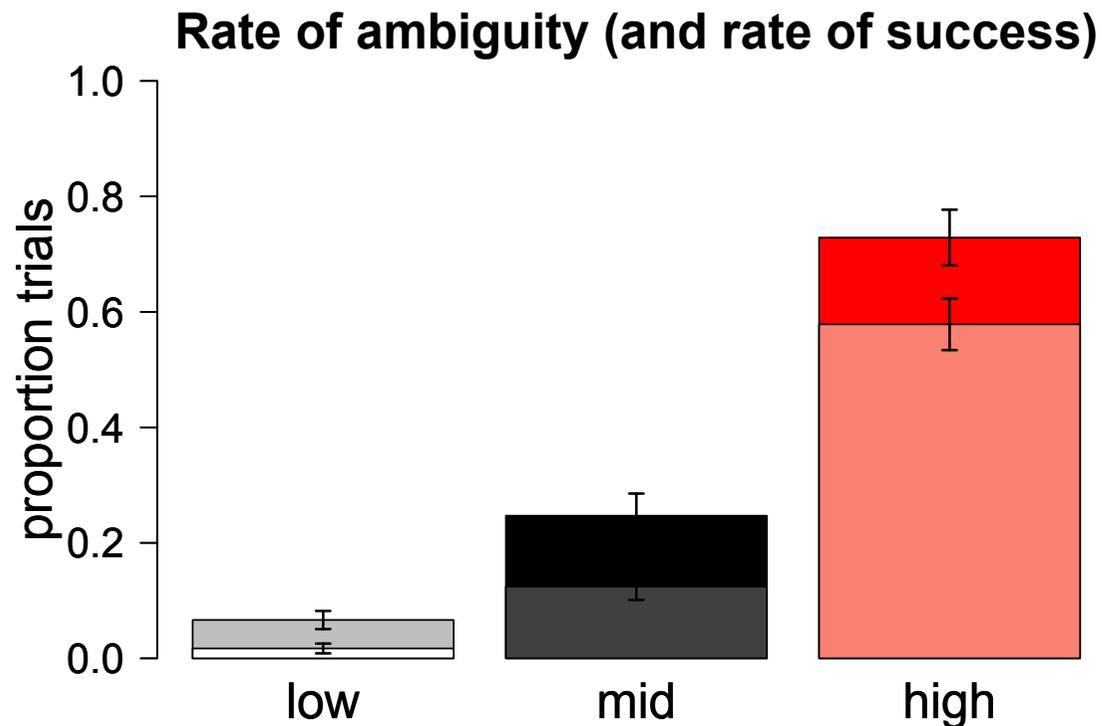
		<u>Expt1</u>	<u>Expt2</u>
LOW	<b>“rose”</b>	<b>-60</b>	<b>-80</b>
MID	<b>“daisy”</b>	<b>-120</b>	<b>-140</b>
HIGH	<b>“tulip”</b>	<b>-280</b>	<b>-165</b>
	<b>“flower”</b>	<b>-80</b>	<b>-80</b>

		<u>Expt1</u>	<u>Expt2</u>
	<b>“apple”</b>	<b>-60</b>	<b>-80</b>
	<b>“palm tree”</b>	<b>-120</b>	<b>-135</b>
	<b>“pine tree”</b>	<b>-250</b>	<b>-170</b>
	<b>“tree”</b>	<b>-80</b>	<b>-80</b>

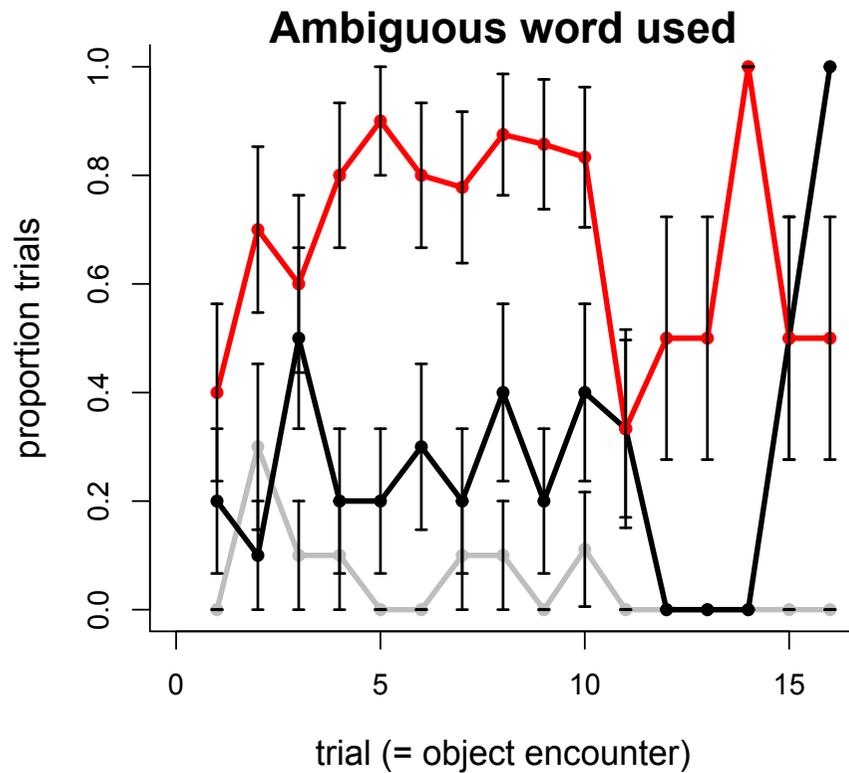
- ▶ Impact of lower costs? With same reward for a match,
  - ▶ Expt2 imposes lower costs, easier to hit target score
  - ▶ Reduced motivation to conventionalize?

# Expt2: Results

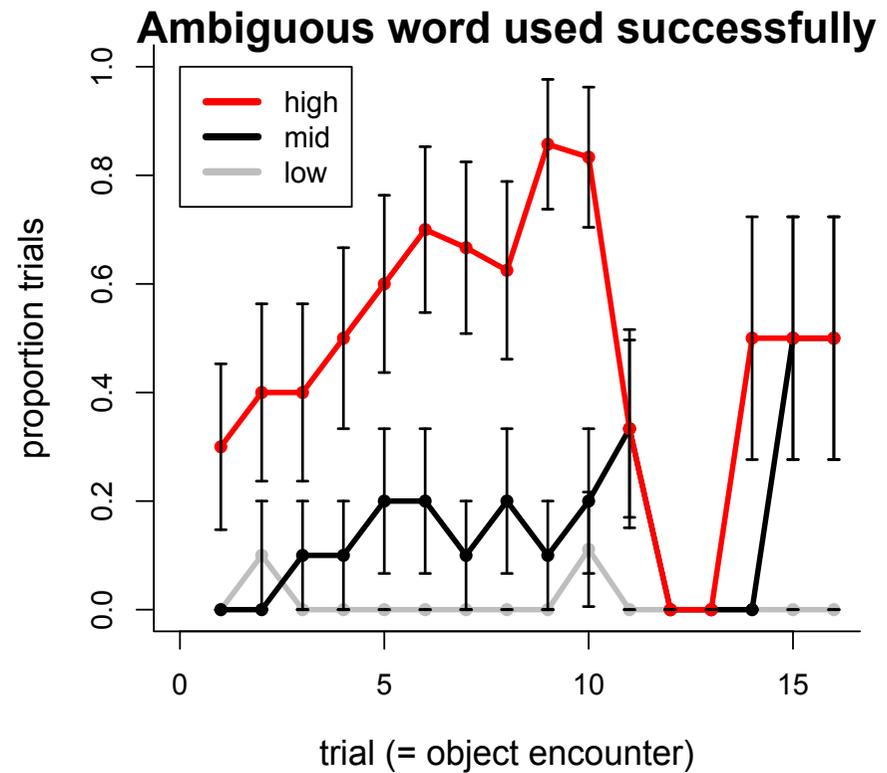
- ▶ 10 pairs: 8 success, 2 ??
- ▶ As in Expt1, cost influences production and comprehension of ambiguous words



# Expt2: Time course



\*cost  
no trial# effect  
no interaction



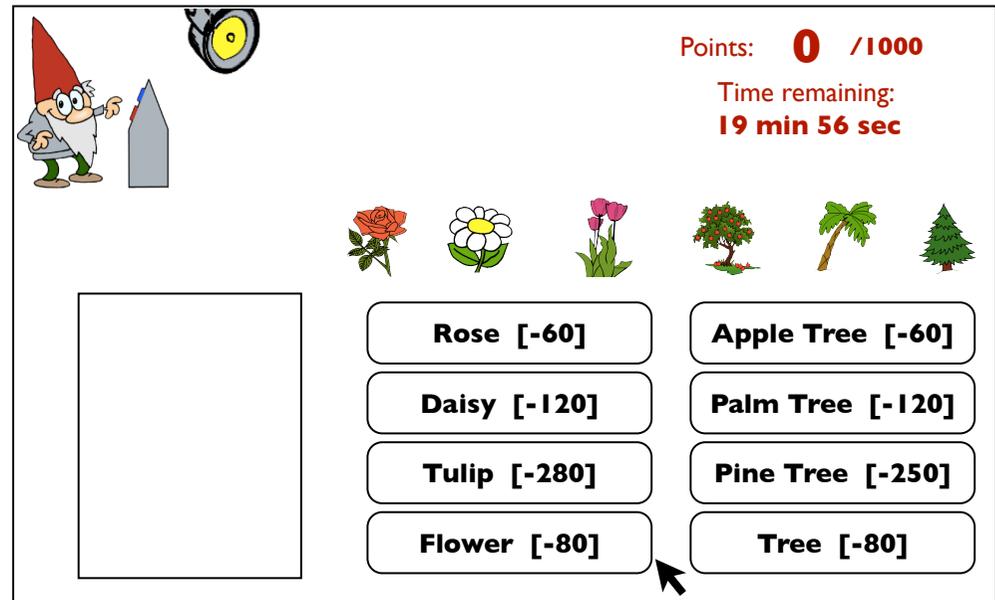
\*cost  
\*trial#  
no interaction

# Comparison of Expt1/Expt2

- ▶ As in Expt1, Expt2 showed a main effect of cost.
- ▶ However, Expt2 also led to greater use of ambiguous words.
- ▶ As in Expt1, ambiguity in Expt2 led to successful communication, but...
  - ▶ 2 pairs assigned ambiguous word to object with mid-cost unambiguous name
  - ▶ 2 pairs used 'tree' but not 'flower'

# Inference or trial-and-error?

- ▶ **Post-hoc analysis:** Consider first trial where ambiguous word (“flower”, “tree”) was used
- ▶ **Finding:** Receivers guessed, more often than chance, that the intended object was the high-cost object.



# Summary

- ▶ **Beyond some/all:** Ambiguous words can be used reliably for entities with costly unambiguous names, if other referents have low-cost unambiguous names.
- ▶ **Sensitivity to cost:** More ambiguous words in contexts where unambiguous names have more similar costs.
- ▶ **Speaker's thoughts about the listener:** Is choice of referring expression automatic/strategic? [Horton 2008]
- ▶ **Role of reduction:** Speakers make rational decisions about redundancy and reduction. [see also Genzel & Charniak, 2002; Jaeger 2010; Levy & Jaeger 2007; Piantadosi, Tily, & Gibson, 2011]
- ▶ **Claim:** Ambiguity arises from a rational process of communication, specifically when cost is part of speakers' shared common ground.

**Thanks!**